

PRIVACY IMPACT ASSESSMENT (PIA)

PRESCRIBING AUTHORITY: DoD Instruction 5400.16, "DoD Privacy Impact Assessment (PIA) Guidance". Complete this form for Department of Defense (DoD) information systems or electronic collections of information (referred to as an "electronic collection" for the purpose of this form) that collect, maintain, use, and/or disseminate personally identifiable information (PII) about members of the public, Federal employees, contractors, or foreign nationals employed at U.S. military facilities internationally. In the case where no PII is collected, the PIA will serve as a conclusive determination that privacy requirements do not apply to system.

1. DOD INFORMATION SYSTEM/ELECTRONIC COLLECTION NAME:

All-domain Anomaly Resolution Office Authorized Reporting

2. DOD COMPONENT NAME:

Office of Secretary of Defense

3. PIA APPROVAL DATE:

10/26/23

Office of the Deputy Secretary of Defense/All-domain Anomaly Resolution Office

SECTION 1: PII DESCRIPTION SUMMARY (FOR PUBLIC RELEASE)

a. The PII is: (Check one. Note: Federal contractors, military family members, and foreign nationals are included in general public.)

- From members of the general public From Federal employees
 from both members of the general public and Federal employees Not Collected (if checked proceed to Section 4)

b. The PII is in a: (Check one.)

- New DoD Information System New Electronic Collection
 Existing DoD Information System Existing Electronic Collection
 Significantly Modified DoD Information System

c. Describe the purpose of this DoD information system or electronic collection and describe the types of personal information about individuals collected in the system.

The All-domain Anomaly Resolution Office (AARO) will publish a website that contains information about AARO and Unidentified Anomalous Phenomena (UAP) in addition to collecting contact information from persons wishing to make a voluntary disclosure to AARO about U.S. Government and UAP events and activities. The website will facilitate the collection of contact information from persons who want to make a report to AARO in support of the Congressionally mandated Historical Record Report and is, therefore, the starting point for a secure reporting mechanism mandated by law in the National Defense Authorization Act, Fiscal Year 2023. Information collected from persons wanting to make a report to AARO include: Name, email address, phone number, DOD identification number (if applicable), current postal zip code, current or former employment status as a federal employee, U.S. Government contractor, or U.S. service member, and currently or previously possessed a U.S. Government security clearance (i.e. yes or no). This information collection is in accordance with DoD Directive 3115.18 regarding publicly available information.

d. Why is the PII collected and/or what is the intended use of the PII? (e.g., verification, identification, authentication, data matching, mission-related use, administrative use)

The PII collected will be used to contact persons that want to report U.S. Government activities and events related to UAP. Additionally, information such as current/former employment status and security clearance level will assist AARO in prioritizing persons to be interviewed in support of the Historical Record Report.

e. Do individuals have the opportunity to object to the collection of their PII? Yes No

(1) If "Yes," descr be the method by which individuals can object to the collection of PII.

(2) If "No," state the reason why individuals cannot object to the collection of PII.

Individuals can choose not to provide their contact information to AARO and therefore, choose not to be interviewed in the future. The contact procedure and potential subsequent interview is voluntary in nature. Additionally, persons can choose to provide their contact information and not provide any security clearance information while still retaining the ability to send their contact information.

f. Do individuals have the opportunity to consent to the specific uses of their PII? Yes No

(1) If "Yes," descr be the method by which individuals can give or withhold their consent.

(2) If "No," state the reason why individuals cannot give or withhold their consent.

By checking a block next to text that indicates that the person is consenting to being contacted by AARO (in the event that AARO wants to conduct an interview of the person), and then clicking, "Submit," the person gives their consent to being contacted by AARO.

g. When an individual is asked to provide PII, a Privacy Act Statement (PAS) and/or a Privacy Advisory must be provided. (Check as appropriate and provide the actual wording.)

PRIVACY ACT STATEMENT FOR UNITED STATES GOVERNMENT UNIDENTIFIED ANOMALOUS PHENOMENON (UAP) REPORTING

AUTHORITY: 10 U.S.C. 113, Secretary of Defense; 44 U.S.C. 2107, Acceptance of Records for Historical Preservation; Section 1673 of the National Defense Authorization Act for Fiscal Year 2023 (Pub. Law 117-263).

PRINCIPAL PURPOSE: To manage general correspondence and reporting relating to unidentified anomalous phenomena. Information provided may be used to contact or seek additional information from individuals reporting information relating to such phenomena, including but not limited to any event relating to unidentified anomalous phenomena and any activity or program by a department or agency of the Federal Government or a contractor of such a department or agency relating to such phenomena.

ROUTINE USES: The information solicited may be made available as a "routine use" to appropriate Federal, State, local, territorial, tribal, foreign, or international law enforcement authorities to assist the All-domain Anomaly Resolution Office with authorized reporting of phenomena, to appropriate Federal, State, local, territorial, tribal, foreign, or international agencies for the purpose of authorized scientific study or counterintelligence activities, or for the purpose of executing or enforcing laws designed to protect the national security or homeland security of the United States, including those relating to the sharing of records or information concerning terrorism, homeland security, or law enforcement. A complete list and explanation of applicable Routine Uses is included in SORN DoD-0017, "Privacy and Civil Liberties Complaints and General Correspondence Records," accessible at <https://www.federalregister.gov/documents/2023/10/03/2023-21863/privacy-act-of-1974-system-of-records>.

DISCLOSURE: Voluntary; however, failure to provide the information may result in the inability of the All-domain Anomaly Resolution Office to evaluate the information and engage in follow-up communications in furtherance of the authorized reporting or assessment processes.

h. With whom will the PII be shared through data/system exchange, both within your DoD Component and outside your Component?
(Check all that apply)

- Within the DoD Component Specify. PII sharing will be limited to select AARO personnel.
- Other DoD Components (i.e. Army, Navy, Air Force) Specify. Component Security or Access Officials for facilitating a visitor request to enter DoD controlled spaces for an interview of an individual.
- Other Federal Agencies (i.e. Veteran's Affairs, Energy, State) Specify.
- State and Local Agencies Specify.
- Contractor (Name of contractor and describe the language in the contract that safeguards PII. Include whether FAR privacy clauses, i.e., 52.224-1, Privacy Act Notification, 52.224-2, Privacy Act, and FAR 39.105 are included in the contract.) Specify. The government website host will be the IL 4 Cloud Environment hosted by Google.
- Other (e.g., commercial providers, colleges). Specify.

i. Source of the PII collected is: (Check all that apply and list all information systems if applicable)

- Individuals Databases
- Existing DoD Information Systems Commercial Systems
- Other Federal Information Systems

Information provided by an individual will be collected via a properly accredited web host (i.e. accredited for processing and hosting PII) and subsequently downloaded and saved on a DOD/AARO controlled shared drive folder. The folder will be restricted and only accessible to those AARO personnel managing the interview process.

j. How will the information be collected? (Check all that apply and list all Official Form Numbers if applicable)

- E-mail Official Form (Enter Form Number(s) in the box below)
- In-Person Contact Paper
- Fax Telephone Interview
- Information Sharing - System to System Website/E-Form
- Other (If Other, enter the information in the box below)

Information will be collected via fillable fields on AARO's website.

k. Does this DoD Information system or electronic collection require a Privacy Act System of Records Notice (SORN)?

A Privacy Act SORN is required if the information system or electronic collection contains information about U.S. citizens or lawful permanent U.S. residents that is retrieved by name or other unique identifier. PIA and Privacy Act SORN information must be consistent.

Yes No

If "Yes," enter SORN System Identifier

SORN Identifier, not the Federal Register (FR) Citation. Consult the DoD Component Privacy Office for additional information or <http://dpcl.d.defense.gov/Privacy/SORNs/>
or

If a SORN has not yet been published in the Federal Register, enter date of submission for approval to Defense Privacy, Civil Liberties, and Transparency Division (DPCLTD). Consult the DoD Component Privacy Office for this date

If "No," explain why the SORN is not required in accordance with DoD Regulation 5400.11-R: Department of Defense Privacy Program.

l. What is the National Archives and Records Administration (NARA) approved, pending or general records schedule (GRS) disposition authority for the system or for the records maintained in the system?

(1) NARA Job Number or General Records Schedule Authority.

(2) If pending, provide the date the SF-115 was submitted to NARA.

(3) Retention Instructions.

m. What is the authority to collect information? A Federal law or Executive Order must authorize the collection and maintenance of a system of records. For PII not collected or maintained in a system of records, the collection or maintenance of the PII must be necessary to discharge the requirements of a statute or Executive Order.

- (1) If this system has a Privacy Act SORN, the authorities in this PIA and the existing Privacy Act SORN should be similar.
(2) If a SORN does not apply, cite the authority for this DoD information system or electronic collection to collect, use, maintain and/or disseminate PII. (If multiple authorities are cited, provide all that apply).

- (a) Cite the specific provisions of the statute and/or EO that authorizes the operation of the system and the collection of PII.
(b) If direct statutory authority or an Executive Order does not exist, indirect statutory authority may be cited if the authority requires the operation or administration of a program, the execution of which will require the collection and maintenance of a system of records.
(c) If direct or indirect authority does not exist, DoD Components can use their general statutory grants of authority ("internal housekeeping") as the primary authority. The requirement, directive, or instruction implementing the statute within the DoD Component must be identified.

n. Does this DoD information system or electronic collection have an active and approved Office of Management and Budget (OMB) Control Number?

Contact the Component Information Management Control Officer or DoD Clearance Officer for this information. This number indicates OMB approval to collect data from 10 or more members of the public in a 12-month period regardless of form or format.

Yes No Pending

- (1) If "Yes," list all applicable OMB Control Numbers, collection titles, and expiration dates.
(2) If "No," explain why OMB approval is not required in accordance with DoD Manual 8910.01, Volume 2, "DoD Information Collections Manual: Procedures for DoD Public Information Collections."
(3) If "Pending," provide the date for the 60 and/or 30 day notice and the Federal Register citation.